
CONSULTATION REQUEST FORM

SWISSGUARD INTERNATIONAL, GmbH – ADVISORY SERVICES
UNIQUE SOLUTIONS TAILORED TO UNIQUE SITUATIONS –
WEALTH PLANNING SINCE 2003

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Please complete this form in CAPITAL LETTERS.

Mail or fax the completed form to our offices in Switzerland. Upon receipt, we will review your information and get in touch with you according to your preferred mode of contact. The information that you provide will help us to understand your financial needs and objectives, as well as facilitate our response matched to those needs. The information provided is kept strictly confidential and is not sold, rented or given away. Our initial consultation / contact with you is free of any obligation.

Send to: SwissGuard International GmbH, Bahnhofstrasse 52, CH-8001 Zurich, Switzerland, FAX: +41 44 214 6519

1. PERSONAL DETAILS

Last Name / Company Name: _____

First Name: _____

Title: Mr. Mrs. Ms. Mr. & Mrs. Other (please specify): _____

Residential address / registered offices address: _____

Postal Code: _____ City/State: _____ Country: _____

E-Mail address: _____

Home telephone: _____ Business telephone: _____

Nationality / Country of registered office: _____ Tax Domicile: _____

Marital Status: married divorced widowed unmarried Gender: male female

Date of Birth / Date of incorporation (dd.mm.yyyy): _____

Children No Yes If yes, how many? _____

Profession / field of business: _____

Have you contacted us before? No Yes If yes, when and in what context? _____

Where did you learn about our services? Who referred you? _____

Should you prefer that we call you to walk you through the form and discuss the different alternatives, please let us know via telephone, fax, email or by leaving a message at our toll-free number (US only) 1-800-796-7496.

2. CONTACT REQUEST

How would you like us to contact you?

Home Tel. Business Tel. E-mail Mobile number: _____

What days / times are most convenient for you? _____

 Other contact mode (please specify): _____

3. YOUR PRIMARY INTERESTS / OBJECTIVES

Please summarize / describe your primary interests or motivation in contacting us.

Which financial related services / program are you most interested in?

Insurance-based solutions:

- Classic Swiss Annuities (fixed annuities issued by Swiss insurance companies) – min. USD 100'000
- Unit-linked Swiss Variable Annuities (selection of mutual funds, issued by Swiss insurers) – min. USD 250'000
- Personally Tailored Variable Annuities (min. USD 500'000)
- Specialized Variable Annuities (min. USD 1'000'000), in particular:
 - Precious Metals Portfolio
 - Global Energy
 - Asset Allocation Model
 - Life Settlements Portfolio
- Private Placement Life Insurance (min. USD 5'000'000)

U.S. IRA Investments

- European bank account** (min. USD 1'000'000)
- International asset management** (min. USD 1'000'000)
- Trust and corporate services** – set up of offshore trust or corporation (min. USD 500'000)
- Precious metals investments** (min. USD 100'000)
- The monthly Wealth Bulletin** – plus periodic updates and alerts – free of charge
- Other** (please specify): _____

Which are your primary planning interests / objectives (please check the three most important to you)?

- Solid Asset Protection – safety from frivolous lawsuits, creditors, etc.
- Safety / Retirement Planning – safe lifetime income for yourself and/or your family
- Tax-free / tax-deferred growth of assets
- Inheritance planning
- Unrestricted access to international investments and currencies
- Capital preservation
- Growth / Profitable investments
- Liquidity / Prompt access to funds
- Other (please specify): _____

How much do you plan to invest (currency / amount)?

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4. INVESTOR PROFILE

What is your reference currency or, in other words, the currency that performance shall be measured in?

(Generally, the reference currency is your home currency, the currency in which you think and pay bills...)

CHF EUR GBP USD Other (please specify): _____

What kind of returns do you expect?

2% to 5% 6% to 9% 10% to 15% 15% or more Other (please specify): _____

What is your risk tolerance?

- Very low – capital preservation
- Low – fixed / guaranteed income at low volatility
- Medium – balance growth
- High – capital gains / dynamic growth
- Very high – speculative gains

What is the expected time horizon of your investment?

Short-term (1 to 4 years) medium-term (5 to 9 years) long-term (10 years or more)

What kind of income requirements do you have?

Immediate annual income Deferred annual income _____ years after investment Lump-sum _____ years after investment

What is the current, approximate allocation of your investment portfolio?

- _____ % Cash
- _____ % Bonds
- _____ % Listed Stock
- _____ % Real Estate
- _____ % Private Equity
- _____ % Other (please specify): _____

What is your approximate net-worth in USD (incl. wealth of spouse)?

Less than USD 500'000 More than USD 500'000 More than USD 1'000'000 More than USD 5'000'000

Have you invested internationally / with financial institutions outside of your country before?

No, never Yes, but on a very limited basis Yes, at times Yes, regularly

Miscellaneous Comments / Questions

Your personal signature to confirm your request as specified above



Date _____

Signature _____

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